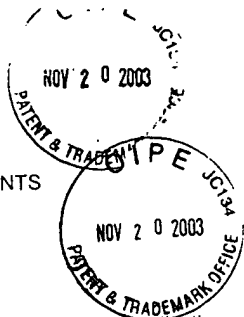


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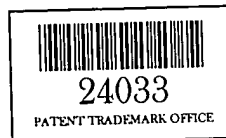


Case Docket No. TUC920030145US1
 November 20, 2003
 Express Mail Label No. EV326952404US
 0022.0063

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Jeffery Michael Barnes, Brian Jeffrey Corcoran, James Chien-Chiung
 Chen, Minh-Ngoc Le Huynh, and Frederick James Carberry II



For: **METHOD, SYSTEM, AND PROGRAM FOR THROTTLING DATA TRANSFER**

Enclosed are:

- ☒ 8 Sheet(s) of drawings + 0 extra copies;
23 pages of Application; 18 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (Will follow.)
☐ An associate power of attorney.
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney. (Will follow.)
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
☐ IDS enclosed - references.
☒ Formal Drawings enclosed; 8 sheets.
☒ Return Postcard.

CALCULATION OF FEES					
ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SMLG ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	24 - 20* =	4	X \$9 or \$18	\$72	
B INDEPENDENT CLAIMS FEE**	3 - 3* =	0	X \$42 or \$84	\$0	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$72
D MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280	\$0
E BASIC FEE*				SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$750	\$750
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$822
G ASSIGNMENT RECORDING FEE				\$ 40	\$40
**LIST INDEPENDENT CLAIMS 1, 9, 17					

Please charge Dep. Acct. No. 09-0466 in the amount of

\$862

A copy of this sheet is enclosed.

A check in the amount of \$ to cover the filing fee is enclosed.Check for \$ covering the Recordation of Assignment fee enclosed.

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☒ Any additional filing fees required under 37 CFR 1.16.☒ Any patent application processing fees under 37 CFR 1.17.

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☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Janaki K. Davda
 Janaki K. Davda
 Registration No. 40,684

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KONRAD RAYNES VICTOR & MANN LLP
 315 S. Beverly Drive; Suite 210
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(310) 556-7983

22154 U.S. PTO
 10/719129



TRANSMITTAL FORM	Application Number	Not Yet Assigned
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	Concurrently Herewith
	Inventor	J.M. Barnes et al.
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in this Submission: 9	Attorney Docket Number	TUC920030145US1


ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Formal Drawings: <u>8</u> sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, and/or Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> Fee Address Indication Form <input type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>)
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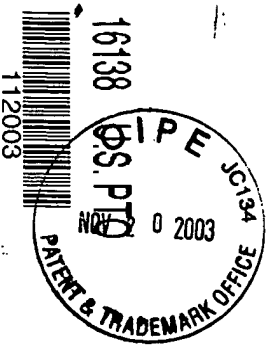
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name:	Janaki K. Davda, Registration No. 40,684
Signature:	<i>Janaki K. Davda</i>
Date:	November 20, 2003
KONRAD RAYNES VICTOR & MANN, LLP ■ The Commissioner is authorized to charge any 315 South Beverly Drive, Suite 210 deficiency of fees, or credit any overpayment, Beverly Hills, California 90212 to Deposit Account No. 09-0466 (310) 556-7983	

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I hereby certify that this Transmittal is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service (Exp. Mail # EV326952404US) under 37 C.F.R. 1.10 and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.		
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Signature:	<i>Patricia McLaughlin</i>	
Date:	November 20, 2003	

11-24-03



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
J.M. Barnes, B.J. Corcoran, J.C. Chen,
M.L. Huynh and F.J. Carberry II
Serial No.: Not Yet Assigned
Filed: November 20, 2003
For: **METHOD, SYSTEM, AND
PROGRAM FOR THROTTLING DATA
TRANSFER**

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING

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Dear Sir:

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November 20, 2003

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Patricia McLaughlin
(Name of person mailing paper or fee)

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(Signature)